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<b>TRANSMITTAL FORM</b> <i>(to be used for all correspondence after initial filing)</i>	<b>Application Number</b>	09/437,135
	<b>Filing Date</b>	November 10, 1999
	<b>First Named Inventor</b>	Shunpei YAMAZAKI et al.
	<b>Group Art Unit</b>	2813
	<b>Examiner Name</b>	E. Kielin
<b>Total Number of Pages in This Submission</b>		<b>Attorney Docket Number</b> 740756-2064

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input checked="" type="checkbox"/> FORM PTO-1449  TO 200 MAIL ROOM
<b>Remarks</b>		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm Or Individual name	<u>Jeffrey L. Costellia, Reg. No. 35,483</u> Nixon Peabody LLP 8180 Greensboro Drive Suite 800 McLean, VA 22012
Signature	
Date	12/20/01

CERTIFICATE OF MAILING		
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: <span style="border: 1px solid black; display: inline-block; width: 100px; height: 20px;"></span>		
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Docket No. 740756-2064

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application of: )  
Shunpei YAMAZAKI et al. ) Examiner: E. Kielin  
Application Serial No. 09/437,135 ) Art Unit: 2813  
Filed: November 10, 1999 )  
For: SEMICONDUCTOR DEVICE AND METHOD FOR )  
FORMING THE SAME )

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
Washington, DC 20231

Sir:

In accordance with the duty of disclosure as set forth in 37 C.F.R. §1.56, Applicants hereby submit the following information in conformance with 37 C.F.R. §§ 1.97 and 1.98. Pursuant to 37 C.F.R. § 1.98, a copy of each reference cited is enclosed.

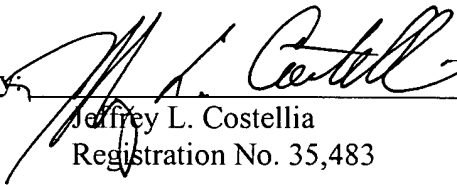
Please note that the information cited in this IDS was previously submitted by Applicant on November 10, 1999 and February 16, 2000. Note further that the February 16, 2000 IDS has already been considered by the Patent Office on March 14, 2001, while the information cited in the November 10, 1999 IDS was either previously considered or cited by the Patent Office in previously-filed applications that are related to the subject application. For the convenience of the Examiner, Applicant submits full copies of the references cited in these IDS's.

It is requested that this information disclosure statement be considered and made of record in the above-captioned application. To assist the Examiner, each document is listed on the attached form PTO-1449. It is respectfully requested that an Examiner initialed copy of this form be returned to the undersigned.

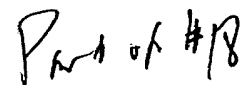
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The Commissioner is hereby authorized to charge any fees connected with this filing which may be required now, or credit any overpayment to Deposit Account No. 19-2380.

Respectfully submitted,

By   
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Sheet 1 of 1

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